New perspectives on polygonal and polyhedral finite element methods

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Generalized barycentric coordinates such as Wachspress and mean value coordinates have been used in polygonal and polyhedral finite element methods. Recently, mimetic finite-difference schemes were cast within a variational framework, and a consistent and stable finite element method on arbitrary polygonal meshes was devised. The method was coined as the Virtual Element Method (VEM), since it did not require the explicit construction of basis functions. This advance provides a more in-depth understanding of mimetic schemes, and also endows polygonal-based Galerkin methods with greater flexibility than three-node and four-node finite element methods. In the VEM, a projection operator is used to realize the decomposition of the stiffness matrix into two terms: a consistent matrix that is known, and a stability matrix that must be positive semi-definite and which is only required to scale like the consistent matrix. In this paper, we first present an overview of previous developments on conforming polygonal and polyhedral finite elements, and then appeal to the exact decomposition in the VEM to obtain a robust and efficient generalized barycentric coordinate-based Galerkin method on polygonal and polyhedral elements. The consistent matrix of the VEM is adopted, and numerical quadrature with generalized barycentric coordinates is used to compute the stability matrix. This facilitates post-processing of field variables and visualization in the VEM, and on the other hand, provides a means to exactly satisfy the patch test with efficient numerical integration in polygonal and polyhedral finite elements. We present numerical examples that demonstrate the sound accuracy and performance of the proposed method. For Poisson problems in $\mathbb{R}^2$ and $\mathbb{R}^3$, we establish that linearly complete generalized barycentric interpolants deliver optimal rates of convergence in the $L^2$ norm and the $H^1$ seminorm.

Keywords: Wachspress basis functions, barycentric finite elements, virtual element method, numerical
1. Introduction

Over the past decade, there has been significant interest in developing non-traditional numerical discretization techniques on polygonal and polyhedral meshes. Among such advances, generalized barycentric coordinates, which are the extension of barycentric coordinates on simplices [1] to polygons and polyhedra, has been a topical research area of focus. Barycentric interpolation is widely used in computer graphics, whereas such interpolating functions have also been adopted as trial and test approximations in finite element methods. Emanating from the seminal work of Wachspress in 1975 [2], the ideas of barycentric coordinates and barycentric interpolation have been extended in recent years to arbitrary polygons in the plane and general polytopes in higher dimensions, which in turn has led to novel solutions in geometry processing (mesh parametrization, image warping, and mesh deformation) and in computational mechanics (material fracture, topology optimization, Stokes flow). In this paper, we present an overview and some new perspectives on the use of generalized barycentric coordinates in Galerkin finite element computations.

On using elements of projective geometry [3], Wachspress [2] constructed a rational basis on convex polygons. Only about a decade ago did renewed interest in Wachspress basis functions arise, for both, graphics [4] and finite element applications [5, 6]. The advent of Floater's mean value coordinate [7] was the turning point in graphics, and now the notion of generalized barycentric coordinates [8, 9, 10] is well-established. Sibson coordinates [11], Laplace coordinates [12, 13], and discrete harmonic coordinates [14, 15] are some of the earliest generalized barycentric coordinates. Since then, many new coordinates have been proposed—metric coordinates [16, 17], harmonic coordinates [18, 19], positive mean value coordinates [20], maximum entropy coordinates [21], complex barycentric coordinates [22, 23], moving least squares coordinates [24], Poisson coordinates [25], and cubic mean value coordinates [26]. Two-dimensional Wachspress coordinates have been extended to convex polytopes [27, 28, 29], and Floater et al. [30] constructed mean value coordinates for polyhedra. Warren [31] proved that rational (Wachspress) barycentric coordinates of degree \( n - d \) for a polytope of dimension \( d \) bounded by \( n \) facets are unique and of minimal degree. The many generalizations, connections, and applications of barycentric coordinates in computer graphics have been extensive [32, 33, 34, 35, 36, 37, 38]. Along with the advances of barycentric coordinates in graphics, there has been a parallel development of barycentric finite element and boundary element methods on polygons and polyhedra [5, 39, 40, 41, 17, 42, 43, 44, 45, 46, 47, 48, 49, 50], with recent applications in fracture modeling [51, 52, 53], topology optimization [54, 55, 56], mesh generation [57, 58, 59, 60, 61], and error estimates in Sobolev norms [62, 63].

The remainder of this paper is organized as follows. Section 2 introduces the main properties of generalized barycentric coordinates, and in Sections 2.1 and 2.2, the construction of some of the most prominent coordinates on polygons and polyhedra are described. The Galerkin formulation and implementation of polygonal and polyhedral finite elements are discussed in Section 3, with emphasis on connecting the virtual element method [64, 65, 66] to barycentric finite element methods. We point out the related work of Talischi and Paulino [67] (also in this Special Issue), where the same approach is presented to ameliorate integration errors over polygonal meshes. In Section 4, numerical results for two- and three-dimensional Poisson problems are presented to affirm...
the sound accuracy and optimal rate of convergence of the method. We conclude with some final remarks in Section 5.

2. Overview of Generalized Barycentric Coordinates

Barycentric coordinates were first introduced by Möbius as a special kind of homogeneous coordinates with respect to the vertices of a simplex [1]. While unique for simplices, they can be generalized in several ways to arbitrary polygons, polyhedra, higher-dimensional polytopes, and even curves. For planar polygons, generalized barycentric coordinates are readily constructed using many different approaches, such as Wachspress coordinates [2], Sibson coordinates [11, 42], mean value coordinates [7], harmonic coordinates [18], and maximum-entropy coordinates [40].

Let \( \Omega_e \subset \mathbb{R}^d \) be an arbitrary polytope (polygon in \( \mathbb{R}^2 \) or polyhedron in \( \mathbb{R}^3 \)), with vertices \( x_1, \ldots, x_n \). Given weight functions \( w_a(x): \Omega_e \to \mathbb{R} \), the functions \( \phi_a(x): \Omega_e \to \mathbb{R} \) \( (a = 1, \ldots, n) \) are called generalized barycentric coordinates (synonymous with shape functions in finite element methods) with respect to \( \Omega_e \) if they form a partition of unity,

\[
\phi_a(x) = \frac{w_a(x)}{\sum_{b=1}^{n} w_b(x)}, \quad \sum_{a=1}^{n} \phi_a(x) = 1, \quad (2.1)
\]

allow us to write any point \( x \in \Omega_e \) as an affine combination of the vertices,

\[
\sum_{a=1}^{n} \phi_a(x)x_a = x, \quad (2.2)
\]

and satisfy the Kronecker-delta property,

\[
\phi_a(x_b) = \delta_{ab}. \quad (2.3)
\]

Due to the properties in (2.1)-(2.3), these generalized barycentric coordinates can be used to construct trial and test approximations in Galerkin methods. For a scalar-valued function \( u(x) \), the interpolant (trial function) \( u_h^e(x): \Omega_e \to \mathbb{R} \) is written as:

\[
u_h^e(x) = \sum_{a=1}^{n} \phi_a(x)u_a, \quad (2.4)\]

where due to (2.3), \( u_a \) assumes the interpretation as the nodal value of the interpolant. Furthermore, many of the applications in computer graphics (mesh deformation, Gouraud shading, image warping) and in computational mechanics to solve partial differential equations (satisfaction of the maximum principle, variation-diminishing property, suppressing Runge’s phenomenon [68], positive-definite mass matrices in dynamics) greatly benefit from the barycentric coordinates being non-negative,

\[
\phi_a(x) \geq 0, \quad (2.5)
\]

so that (2.2) becomes a convex combination and \( u_h^e(x) \) is guaranteed to lie inside the convex hull of \( \{u_a\}_{a=1}^{n} \). In this study, we adopt generalized barycentric coordinates that satisfy the properties (2.1)-(2.3) and (2.5), and the barycentric interpolant is represented in the form given in (2.4).
Fig. 1. Convex polygon. (a) Node numbering in counterclockwise orientation. \( e_a := (x_a, x_{a+1}) \) is the \( a \)-th edge, \( n_a \) is the unit outward normal to \( e_a \), and cyclic order is assumed; and (b) Wachspress and mean value coordinates.

### 2.1. Barycentric coordinates on polygons

#### 2.1.1. Wachspress coordinates

Wachspress [2] was the first to come up with a generalization of barycentric coordinates to convex polygons for finite element applications. Consider a planar polygonal element \( \Omega_e \subset \mathbb{R}^2 \) with \( n \) vertices (Fig. 1a). The rational shape functions take the form [2]:

\[
\phi_a(x) = \frac{P_{n-2}(x)}{P_{n-3}(x)},
\]

where \( P_k(x) \) is a bivariate polynomial of degree \( k \). For an irregular convex polygon, Wachspress [2] used projective geometry to present the formulation for the shape functions: numerator in the expression for \( \phi_a(x) \) in (2.6) is proportional to the product of the equations of the edges of the polygon (excluding the two edges that include node \( a \)), whereas the denominator is the degree \( n-3 \) algebraic curve that passes through the so-called external intersection points (EIPs). The EIPs are the points where the edges intersect; there are \( n(n-3)/2 \) EIPs for an \( n \)-gon, and the algebraic curve that passes through the EIPs is also known as the \textit{adjoint} of the polygon [69]. Instead of requiring algebraic geometric computations (lines, curves, adjoints of polygons), Meyer et al. [4] proposed an alternative formula for Wachspress’s shape functions:

\[
\phi_a(x) = \frac{w_a(x)}{\sum_{b=1}^{n} w_b(x)}, \quad w_a(x) = \frac{A(x_{a-1}, x_a, x_{a+1})}{A(x_{a-1}, x_a, x) A(x_a, x_{a+1}, x)} = \frac{\cot \gamma_a + \cot \delta_a}{||x - x_a||^2},
\]

where \( A(a, b, c) \) is the signed area of triangle \([a, b, c]\) (Fig. 1b), and the last \((local)\) expression in terms of angles is due to Meyer et al. [4].

Recently, simplified formulas were conceived for Wachspress coordinates on polygons and polyhedra [70]. Consider once again the convex polygon \( \Omega_e \subset \mathbb{R}^2 \) shown in Fig. 1. Let \( n_a \in \mathbb{R}^2 \) be the unit outward normal to the edge \( e_a \), with vertices indexed cyclically, i.e., \( x_{n+1} := x_1 \). For any \( x \) in
Let $\Omega_e \subset \mathbb{R}^2$ be a planar domain, let \( h_a(x) \) be the perpendicular distance of \( x \) to the edge \( e_a \). Then, we can write \( h_a(x) = (x_a - x) \cdot n_a = (x_{a+1} - x) \cdot n_a \). The Wachspress shape functions $\phi_a(x) : \Omega_e \to \mathbb{R}$ (\( a = 1, \ldots, n \)) are defined by the formula [70]

\[
\phi_a(x) = \frac{w_a(x)}{\sum_{b=1}^n w_b(x)}, \quad w_a(x) := \frac{|n_{a-1} \times n_a|}{h_{a-1}(x) h_a(x)},
\]

where $\times$ denotes the two-dimensional cross product. It is readily shown that the formulas in (2.7) and (2.9) are equivalent. However, (2.7) is in terms of areas of triangles and angles, whereas the formula in (2.9) is much simpler to implement and proves to be more convenient for deriving a bound on $\nabla \phi_a$ [70].

2.1.2. Mean value coordinates

Floater [7] used the mean value theorem for harmonic functions to construct non-negative linearly precise barycentric coordinates on polygons:

\[
\phi_a(x) = \frac{w_a(x)}{\sum_{b=1}^n w_b(x)}, \quad w_a(x) = \frac{\tan (\alpha_{a-1}/2) + \tan (\alpha_a/2)}{\|x - x_a\|},
\]

where the angle $\alpha_a$ is shown in Fig. 1b. Wachspress coordinates are valid only on convex polygons, whereas mean value coordinates are well-defined for arbitrary planar polygons without self-intersections. Mean value coordinates are positive in the interior of convex polygons. For nonconvex polygons, mean value coordinates are positive in the kernel of the polygon, have a smooth extension outside the polygon, and remain linear on any boundary edge [7, 71]: all of these properties are not met by other generalized barycentric coordinates [17]. In barycentric finite element methods, the computation of mean value coordinates and its derivatives are required. To this end, on letting $r_a = x - x_a$ ($r_a = \|r_a\|$), we can write [71, 51]

\[
\tan \left( \frac{\alpha_a}{2} \right) = \frac{\sin \alpha_a}{1 + \cos \alpha_a} = \frac{2 A(x_a, x_{a+1}, x)}{r_a r_{a+1} + r_a \cdot r_{a+1}} = \frac{\|r_a \times r_{a+1}\|}{r_a r_{a+1} + r_a \cdot r_{a+1}},
\]

which is now valid for all points $x$ that are in the interior of an arbitrary planar (convex and nonconvex) polygon. The denominator vanishes only when $\alpha_a = \pi$, i.e., when $x$ lies on the boundary of the polygon.

2.1.3. Harmonic and maximum-entropy coordinates

Functions that satisfy Laplace’s equation are known as harmonic functions. With an eye on applications in computer graphics such as character animations, Joshi et al. [18] constructed a new set of generalized barycentric coordinates, which they coined as harmonic coordinates. Harmonic coordinates, $\phi_a(x)$, are required to satisfy Laplace’s equation with suitable Dirichlet boundary
conditions prescribed on the boundary of the polytope. For the polygon shown in Fig. 1a, the formulation for harmonic coordinates is [18]:

\[ \nabla^2 \phi_a(x) = 0 \text{ in } \Omega_e, \]
\[ \phi_a(x) = g_a(x) \quad \forall x \in \partial \Omega_e, \]

where \( g_a(x) \) is a piecewise linear function (hat function) on the boundary with \( g_a(x_b) = \delta_{ab} \). It is readily shown [18] that the \( \phi_a(x) \) that solves (2.12) satisfies the conditions (2.1)-(2.3). Since on the boundary, \( \phi_a \in [0, 1] \), then by virtue of the maximum principle for Laplace’s equation, \( \phi_a(x) > 0 \) in the interior of the polygon. The main drawback of harmonic coordinates is that compared to Wachspress or mean value coordinates, they are relatively expensive to evaluate since they require the solution of the boundary-value problem posed in (2.12).

For a polytope in \( \mathbb{R}^d \) with \( n > d + 1 \), the constraints in (2.1) and (2.2) do not prescribe unique generalized barycentric coordinates. Motivated by this observation and the fact that a set of shape functions can be viewed as a discrete probability distribution, Sukumar [40] used Jaynes’s maximum-entropy principle with Shannon entropy [72] as the objective function to construct polygonal interpolants on convex polygons. On using the relative entropy [73] with the notion of a nodal prior weight function in the variational formulation [74], maximum-entropy coordinates have been extended to arbitrary polygons and polyhedra [21], and also to signed shape functions that possess quadratic precision on planar polygons [75]. Harmonic coordinates [18] and maximum-entropy coordinates [21] are non-negative on arbitrary (convex and nonconvex) polygons and polyhedra.

Now, the essentials of the variational formulation to construct maximum-entropy (max-ent) coordinates on planar polygons are presented. The max-ent variational formulation is: for \( x \in \Omega_e \) and given nodal weight functions \( w_a(x) : \Omega_e \to \mathbb{R}_+ \ (a = 1, \ldots, n) \), find \( \phi(x) : \Omega_e \to \mathbb{R}_+^n \) (\( \mathbb{R}_+^n \) is the non-negative orthant) as the solution of the following constrained (concave) optimization problem:

\[ \max_{\phi \in \mathbb{R}_+^n} \left[ -\sum_{a=1}^{n} \phi_a(x) \ln \left( \frac{\phi_a(x)}{w_a(x)} \right) \right], \]

subject to the linear constraints in (2.1) and (2.2):

\[ \sum_{a=1}^{n} \phi_a(x) = 1, \]
\[ \sum_{a=1}^{n} \phi_a(x)c_a(x) = 0, \]

where \( c_a = x_a - x \) are shifted nodal coordinates.

Let \( \lambda_0 \in \mathbb{R} \) and \( \lambda \in \mathbb{R}_+^2 \) be the Lagrange multipliers associated with the constraints in (2.13b) and (2.13c), respectively. Then, the Lagrangian is:

\[ \mathcal{L}(\phi, \lambda_0, \lambda) = -\sum_{a=1}^{n} \phi_a(x) \ln \left( \frac{\phi_a(x)}{w_a(x)} \right) - \lambda_0 \left( \sum_{a=1}^{n} \phi_a(x) - 1 \right) - \lambda \cdot \left( \sum_{a=1}^{n} \phi_a(x)c_a(x) \right). \]
The Karush-Kuhn-Tucker (KKT) first-order optimality condition is:
\[-1 - \ln \phi_a(x) + \ln w_a(x) - \lambda_0 - \lambda \cdot c_a(x) = 0, \quad (a = 1, \ldots, n)\]
and on setting \(\ln Z = 1 + \lambda_0\), where \(Z(x, \lambda) : \Omega_e \times \mathbb{R}^2 \to \mathbb{R}\) is known as the partition function in statistical mechanics, the above yields
\[\phi_a(x) = w_a(x) \exp\left(-\lambda \cdot c_a(x)\right) / Z(x, \lambda), \quad (a = 1, \ldots, n)\]
and since \(\sum_{a=1}^n \phi_a(x) = 1\), therefore
\[\phi_a(x) = Z_a(x, \lambda) / Z(x, \lambda), \quad Z_a(x, \lambda) := \sum_{b=1}^n Z_b(x, \lambda). \quad (2.15)\]

Now, we can write the Lagrangian dual function \(f : \mathbb{R}^2 \to \mathbb{R}\) as
\[f(\lambda) = \max_{\phi \in \mathbb{R}_+^n} \mathcal{L}(\phi, \lambda),\]
and on substituting \(\mathcal{L}(\cdot)\) from (2.14) and \(\phi_a(\cdot)\) from (2.15) in the above equation and simplifying, we obtain
\[f(\lambda) = \ln Z(x, \lambda).\]

Hence, the dual problem is:
\[\lambda^*(x) = \arg\min_{\lambda \in \mathbb{R}^2} \ln Z(x, \lambda), \quad (2.16)\]
where \(\lambda^*(x)\) is the converged solution for the Lagrange multiplier vector. The dual problem (unconstrained convex minimization) is efficiently solved using Newton’s method \([40, 76, 21]\).

Let \(\phi^*_a(x)\) be the max-ent shape function that corresponds to the converged \(\lambda^*(x)\), and \(\nabla \phi^*_a(x)\) be the gradient of \(\phi^*_a(x)\). On substituting \(\lambda^*\) in (2.15), the shape function \(\phi^*_a(x)\) is obtained. The gradient of \(\phi^*_a(x)\) for a constant nodal prior weight function \(w_a(x) = 1\) for all \(a\) is \([40]\):
\[\nabla \phi^*_a(x) = \phi^*_a(x) c_a(x) \cdot \left(H^*(x)\right)^{-1}, \quad H^*(x) = \sum_{b=1}^n \phi^*_b(x) c_b(x) \otimes c_b(x), \quad (2.17)\]
and the general expression for any \(w_a(x)\) is given in Reference \([77]\). Plots of Wachspress and maximum-entropy shape functions on convex polygons are shown in Fig. 2.

2.1.4. Generalized three-point coordinates

As the previous sections indicate, there are many variants of linearly precise generalized barycentric coordinates for planar polygons. To better understand this nonuniqueness and to unify some of the different coordinates, Floater et al. \([8]\) provided a generating formula to construct three-point generalized barycentric coordinates \((w_a(x)\) depends only on \(x_{a-1}, x_a\) and \(x_{a+1}\)). If there exist weights \(w_a(x) > 0\) that satisfy the linear precision property in (2.2), then \(\phi_a(x) > 0, \phi_a(x_i) = \delta_{ab}\) and \(\phi_a(x)\) is piecewise linear on the faces connected to node \(a\) \([8]\). This result also applies to
Fig. 2. Shape functions on a hexagon and an octagon. (a),(b) Wachspress; and (c),(d) Maximum-entropy.

Fig. 3. Three-point generalized barycentric coordinates.

meshfree convex approximation schemes [76, 78]. All three-point barycentric coordinates assume the form [8]:

\[ w_a(x) = \frac{c_{a+1}(x)A_{a-1}(x) - c_a(x)B_a(x) + c_{a-1}(x)A_a(x)}{A_{a-1}(x)A_a(x)}, \]

(2.18)

where \( c_a(x) = f(r_a) \) with \( r_a = ||x - x_a|| \), and \( A_a \) and \( B_a \) are illustrated in Fig. 3. The generating function \( f(x) : \mathbb{R}_+ \to \mathbb{R} \) exists for all three-point schemes. Floater et al. [8] proved that there exists weights \( w_a(x) > 0 \) if and only if \( f(r) > 0 \) (positive), \( f'(r) \geq 0 \) (monotonic), \( f''(r) \geq 0 \) (convex), and \( f'(r) \leq f(r)/r \) (sublinear); for example, \( f(r) = 1 \) (Wachspress), \( f(r) = r \) (mean value coordinates), and \( f(r) = r^2 \) (discrete harmonic coordinates). An example of a five-point generalized barycentric coordinate is the metric coordinates [16].

2.2. Barycentric coordinates on polyhedra

For polyhedra, only a few known constructions of generalized barycentric coordinates exist. Warren [27] generalized the original two-dimensional construction by Wachspress to \( \mathbb{R}^d \), and expressed the barycentric coordinates for the polytope as linear rational combinations of adjoints of dual cones associated with the polytope. On using the notion of the dual polyhedron, Ju et al. [79] presented the barycentric coordinates as the ratio of volumes of certain dual polyhedra. Generalizations of
mean value coordinates to higher-dimensional polytopes have been realized [30, 80, 81], and they have been used in finite element computations [43, 44]. An extension of metric coordinates [16] to polyhedra is adopted by Kraus and Steinmann [47] for nonlinear continuum computations on polyhedral meshes.

Recently, Floater et al. [70] presented simple formulas and an efficient computer implementation for the generalization of Wachspress coordinates to convex polyhedra proposed by Ju et al. [79]. The algorithm is applicable to polyhedra in \( \mathbb{R}^3 \) that have vertices with valence of three or more. We now present the essential formulas. Let \( \Omega_e \subset \mathbb{R}^3 \) be an open, convex polyhedron with vertices \( V \), edges \( E \), and faces \( F \). Let \( v \) be a vertex in \( V \). In general there are \( k \geq 3 \) faces incident on \( v \). Denote these by \( f_1, f_2, \ldots, f_k \) in some anticlockwise order as seen from outside \( \Omega_e \). Let \( n_1, n_2, \ldots, n_k \) be the outward unit normals to these faces, respectively. Let \( h_i(x) > 0 \) be the perpendicular distance of \( x \) from the face \( f_i \), which can be expressed as the scalar product

\[
h_i(x) = (v - x) \cdot n_i.
\]

The Wachspress shape functions \( \phi_v(x) : \Omega_e \to \mathbb{R} \ (v \in V) \) are defined by the formula [70]

\[
\phi_v(x) = \frac{w_v(x)}{\sum_{u \in V} w_u(x)}, \quad w_v(x) := \frac{1}{h_1(x)} \sum_{i=2}^{k-1} \frac{(n_i \times n_{i+1}) \cdot n_1}{h_i(x) h_{i+1}(x)}.
\]

The above expression for Wachspress shape functions satisfies the linear precision condition (2.2) [79]. A few plots of Wachspress shape functions on polyhedra are illustrated in Fig. 4.

### 3. Polygonal and Polyhedral Finite Elements

In 1975, Wachspress [2] constructed rational shape functions for polygons, but applications of finite elements on polygons and polyhedra are of more recent origin. Since the early 1990s, many new developments on finite elements on polygons and polyhedra have been conceived. Ghosh and coworkers [82, 83, 84] introduced a Voronoi-based assumed-stress-hybrid finite element approach.
to model material microstructure and failure, whereas Rashid and coworkers [85, 86, 87] have
advocated the use of nonconforming polygonal and polyhedral shape functions for solid continua.
Lin et al. [88, 89, 90] have introduced discontinuous Galerkin formulations on general polygonal
and polyehdral meshes. Over the past decade, there has been continued efforts to further the
development of polygonal [5, 39, 52, 55] and polyhedral finite element methods [43, 44, 47, 49]
using generalized barycentric coordinates.

3.1. Virtual element method

Consider the following Poisson boundary-value problem with non-homogeneous Dirichlet boundary
conditions:

\[-\nabla^2 u = f \text{ in } \Omega \subset \mathbb{R}^d,\]
\[u = g \text{ on } \partial \Omega,\]

whose weak form is: find \(u \in H^1(\Omega)\) such that

\[a(u, w) = \ell(w) \quad \forall w \in H^1_0(\Omega), \quad a(u, w) = \int_\Omega \nabla u \cdot \nabla w \, dx, \quad \ell(w) = \int_\Omega f w \, dx.\]
convex and nonconvex polygonal and polyhedral meshes, without the use of an explicit basis. Now, we present the essential ideas in the VEM and list the pertinent discrete operators; further details and proofs are available in Reference [64].

Consider a domain $\Omega \subset \mathbb{R}^d$ ($d = 2, 3$), which is discretized using polygonal (polyhedral) elements in $\mathbb{R}^2$ ($\mathbb{R}^3$) with $\Omega = \bigcup \Omega_e$, where $\Omega_e$ is a finite element. A polygon $\Omega_e$ with $n$ vertices is shown in Fig. 1a. Let $x \in \mathbb{R}^d$ denote a point in the element, and $|\Omega_e|$ be the measure of the element (area in $\mathbb{R}^2$ and volume in $\mathbb{R}^3$). On each element, we use generalized barycentric coordinates $\{\phi_a(x)\}_{a=1}^n$ to form the discrete space: $V^h_e = \text{span} \{\phi_a(x)\}_{a=1}^n$. On choosing the trial function of the form given in (2.4):

$$u^h_e(x) = \sum_{b=1}^n \phi_b(x)u_b \in V^h_e,$$

where $u_b$ are nodal degrees of freedom that are associated with the vertices of the element and testing with the shape functions $\phi_a$ ($a = 1, \ldots, n$) in the weak form (3.2) leads to the following linear system in each element $\Omega_e$:

$$K^{ab}_e = a(\phi_a, \phi_b) = \int_{\Omega_e} \nabla \phi_a \cdot \nabla \phi_b \, dx. \quad (3.3)$$

In the virtual element formulation, we restrict our attention to basis functions that span the space of functions of degree 1 (affine functions). Let $P$ be the vector space that is spanned by affine polynomials, and $m = x \equiv \{x \, y\}^T$ and $m = x \equiv \{x \, y \, z\}^T$ be the vector that contains linear monomials in $\mathbb{R}^2$ and $\mathbb{R}^3$, respectively. We define a projection operator $\Pi : V^h_e \rightarrow P(\Omega_e)$ such that it satisfies the following orthogonality condition in the inner product $a(\cdot, \cdot)$:

$$a(p, v - \Pi v) = 0 \quad \forall p \in P(\Omega_e), \; v \in V^h_e, \quad (3.4a)$$

and since the constant function is in the kernel (null-space) of the projection operator, we need one more equation to determine the constant coefficient of $\Pi v$. To this end, we choose an appropriate projection operator $\Pi_0 : V^h_e \rightarrow \mathbb{R}$ onto constants and we require

$$\Pi_0(\Pi v) = \Pi_0 v, \quad (3.4b)$$

which allows us to fully determine $\Pi v \in P(\Omega_e)$. The specific choice for the operator $\Pi_0$ that we adopt is the following:

$$\Pi_0 v = \frac{1}{n} \sum_{a=1}^n v(x_a). \quad (3.4c)$$

From (3.3), the element stiffness matrix $K^{ab}_e$ is given by

$$K^{ab}_e = a(\phi_a, \phi_b). \quad (3.5)$$

On writing $\phi_a = \Pi \phi_a + (I - \Pi)\phi_a$ and a similar expression for $\phi_b$, and substituting in (3.5), we obtain

$$K^{ab}_e = a(\Pi \phi_a + (I - \Pi)\phi_a, \Pi \phi_b + (I - \Pi)\phi_b),$$
or
\[
K^{ab} = a(\Pi \phi_a, \Pi \phi_b) + a((I - \Pi) \phi_a, (I - \Pi) \phi_b) + a((I - \Pi) \phi_a, \Pi \phi_b) + a(\Pi \phi_a, (I - \Pi) \phi_b).
\]
But since \(\phi_a, \phi_b \in H^1(\Omega_e), \Pi \phi_a \in \mathcal{P}(\Omega_e)\) and \(\Pi \phi_b \in \mathcal{P}(\Omega_e)\), the last two terms vanish in the above equation due to the orthogonality condition (3.4a). Hence, we obtain
\[
K^{ab} = a((I - \Pi) \phi_a, (I - \Pi) \phi_b).
\]

Now, we can write \(\Pi \phi_a = \sum s_i \phi_i\), where the vector \(s^a\) is obtained from the orthogonality condition (3.4a), while the constant \(s^a_0\) is determined by (3.4b); note that \(a(m, s^a_0) = 0\) since \(s^a_0\) is a constant. On substituting \(v = \phi_a\), \(p = m\) and the above expression for \(\Pi \phi_a\) in (3.4a), we obtain
\[
a(m, \Pi \phi_a) = a(m, \phi_a) \Rightarrow \sum_{\beta=1}^d a(m, m_\beta) s^a_\beta = a(m, \phi_a)
\]
for \(\alpha = 1, \ldots, d\), which leads to the linear system of equations:
\[
Gs^a = g^a, \quad G_{\alpha \beta} = a(m, m_\beta), \quad g^a = a(m, \phi_a), \quad s^a = \{s^a_1 \ldots s^a_d\}^T.
\]
Note that \(G = \int_{\Omega_e} \nabla m \cdot \nabla m \, dx = \int_{\Omega_e} \nabla x \cdot \nabla x \, dx = |\Omega_e| I\). The solution of (3.7) is:
\[
s^a = G^{-1} g^a, \quad g^a = a(m, \phi_a) = \int_{\Omega_e} \nabla m \cdot \nabla \phi_a \, dx = \int_{\Omega_e} I \cdot \nabla \phi_a \, dx = \int_{\Omega_e} \nabla \phi_a \, dx = \int_{\partial \Omega_e} \phi_a n \, dS,
\]
where the divergence theorem (\(n\) is the unit outward normal on the boundary) and the fact that \(\nabla^2 m_\alpha = 0\) are used. Since \(\phi_a\) is known on the boundary of the element, \(g^a\) is readily evaluated. Let us define the matrices \(R\) and \(N\) as follows:
\[
R = \frac{1}{2} \begin{bmatrix}
\ell_a n_a + \ell_1 n_1 \\
\ell_1 n_1 + \ell_2 n_2 \\
\vdots \\
\ell_{a-1} n_{a-1} + \ell_a n_a
\end{bmatrix}, \quad N = \begin{bmatrix}
x_1 y_1 \\
x_2 y_2 \\
\vdots \\
x_n y_n
\end{bmatrix}\]
for polygons, where \(\ell_a (a = 1, \ldots, n)\) is the length of edge \(a\), and the \(a\)-th row of \(R\) is \((g^a)^T\). For a polyhedral element,
\[
R = \begin{bmatrix}
w_1^a |f_1^a| n_1^a + \ldots + w_1^k |f_1^k| n_1^k \\
w_2^a |f_2^a| n_1^a + \ldots + w_2^k |f_2^k| n_1^k \\
\vdots \\
w_n^a |f_n^a| n_1^a + \ldots + w_n^k |f_n^k| n_1^k
\end{bmatrix}, \quad N = \begin{bmatrix}
x_1 y_1 z_1 \\
x_2 y_2 z_2 \\
\vdots \\
x_n y_n z_n
\end{bmatrix},
\]
where \(k_a\) are the number of faces that are incident to node \(a\), \(|f_k^a|\) is the measure (area) of the \(k\)-th face incident to node \(a\), \(n_k^a\) is the unit outward normal to the \(k\)-th face that is incident to node \(a\), and \(w_k^a\) is the corresponding quadrature weight, which is obtained from a linearly precise integration rule. The choice of these weights is not unique as, in principle, any set of weights associated with the polyhedral vertices that provides a second-order accurate quadrature can be used. In Appendix A, we show the existence of such weights in a constructive way that yields a formula for their calculation. Their non-uniqueness is also discussed.
From (3.8) and (3.9), we obtain
\[ S = \{ s^1, s^2, \ldots, s^n \} = G^{-1} \{ g^1, g^2, \ldots, g^n \} = G^{-1} R^T, \] (3.10)
and we can express the first term in (3.6) as
\[ a(\Pi \phi_a, \Pi \phi_b) = \sum_{\alpha} \sum_{\beta} s^a_{\alpha} a(m_\alpha, m_\beta) s^b_{\beta} = S^T GS = \frac{RR^T}{|\Omega_e|}, \] (3.11)
where (3.10) and \( G^{-1} = I/|\Omega_e| \) have been used. The matrix \( G \) can also be represented as:
\[ G = a(m, m) = \int_{\Omega_e} \nabla x \cdot \nabla x \, dx = \int_{\partial \Omega_e} x \otimes n \, dS = \sum_a x_a \otimes \int_{\partial \Omega_e} \phi_a n \, dS = N^T R, \] (3.12)
where the divergence theorem has been invoked. Since \( G \) is a symmetric matrix, it follows that \( N^T R \) is also a symmetric matrix, i.e., \( G = N^T R = R^T N \).

Let us now derive the matrix representation of the projection operator \( \Pi \). We recall that
\[ \Pi \phi_a(x) = s^a_0 + (m(x))^T s^a, \] (3.13)
and on collecting terms for all \( a \) in a row vector, we have
\[ \{ \Pi \phi_1(x) \, \Pi \phi_2(x) \, \ldots \, \Pi \phi_n(x) \} = \{ s^1_0 \, s^2_0 \, \ldots \, s^n_0 \} + (m(x))^T \{ s^1 \, s^2 \, \ldots \, s^n \} = S_0 + (m(x))^T S = S_0 + (m(x))^T G^{-1} R^T, \] (3.14)
where (3.10) has been used. On using the linear precision condition (2.2), we can write
\[ m(x) = x = \sum_{a=1}^n \phi_a(x) x_a = N^T \begin{pmatrix} \phi_1(x) \\ \phi_2(x) \\ \vdots \\ \phi_n(x) \end{pmatrix}. \]
Substituting the above expression for \( m(x) \) in (3.14) yields:
\[ \{ \Pi \phi_1(x) \, \Pi \phi_2(x) \, \ldots \, \Pi \phi_n(x) \} = S_0 + \{ \phi_1(x) \, \phi_2(x) \, \ldots \, \phi_n(x) \} N G^{-1} R^T. \] (3.15)
Let \( \Pi \) be the projection onto linear functions, i.e., \( \Pi \phi_a(x) = (m(x))^T s^a \). Then, \( \Pi \phi_a(x) \) admits the following direct decomposition:
\[ \Pi \phi_a(x) = \Pi_0 \phi_a(x) + (I - \Pi_0) \phi_a(x), \] (3.16)
which on rearranging yields
\[ \Pi \phi_a(x) = \Pi \phi_a(x) + \Pi_0 (I - \Pi) \phi_a(x). \]
We point out that the above equation can be readily derived by applying \( \Pi_0 \) to (3.13), and noting that \( \Pi_0 s^a_0 = s^a_0 \) and \( \Pi_0 (\Pi \phi_a(x)) = \Pi_0 \phi_a(x) \) from (3.4b). Hence, the matrix representation of the three projection operators must satisfy the following relation:
\[ \Pi = \Pi \Pi_0 (I - \Pi). \] (3.17)
From (3.4c), we obtain the following expression for \( \Pi_0 \):
\[ \Pi_0 = \frac{11^T}{n}, \quad \mathbf{1} = \{1 \, 1 \, \ldots \, 1\}^T. \] (3.18)
is a $n$-dimensional column vector of ones. The matrix $\tilde{\Pi}$ is such that
\begin{equation}
\{ \Pi \phi_1(x) \Pi \phi_2(x) \ldots \Pi \phi_n(x) \} = S_0 + \{ \phi_1(x) \phi_2(x) \ldots \phi_n(x) \} \tilde{\Pi}, \tag{3.19}
\end{equation}
and on comparing (3.15) and (3.19), we have
\begin{equation}
\tilde{\Pi} = N \left( G \right)^{-1} R^T = \frac{N R^T}{|\Omega_e|}, \tag{3.20}
\end{equation}
where the projection matrix $\tilde{\Pi}$ has the interpretation of an oblique projection [130], such that the column vectors of $N$ form a basis for the range of the projection. Since the columns of $R$ form a basis for the orthogonal complement of the kernel of the projection, i.e., $\text{img}(R) = (\ker(\Pi))^\perp$, then $\Pi = N (R^T N)^{-1} R^T = N R^T / |\Omega_e|$, since $R^T N = G = 0 e |I|$ from (3.12).

Now, from (3.6) and (3.11), the decomposition for the stiffness matrix in the virtual element method is written as
\begin{equation}
K_e = \Pi^T K_e \Pi + (I - \Pi) K_e (I - \Pi) = \frac{RR^T}{|\Omega_e|} + (I - \Pi)^T K_e (I - \Pi), \tag{3.21}
\end{equation}
where $\Pi$ is defined in (3.17). In the VEM, the first term in (3.21) provides consistency and the second term lends stability. It has been shown in Reference [64] that $K_e$ on the right-hand-side can be replaced by the identity matrix, still yielding a convergent scheme:
\begin{equation}
K_e = \frac{RR^T}{|\Omega_e|} + (I - \Pi)^T (I - \Pi). \tag{3.22}
\end{equation}

### 3.2. Algebraic form of the consistency condition

The exact solution for the patch test is an affine function: $u(x) = \alpha + \beta \cdot x$. Now, let the nodal value $d_a$ stem from this affine field: $d_a = \alpha + \beta \cdot x_a$. From the definition of matrix $K_e$ and using (2.1) and (2.2), it follows that:
\begin{equation}
\sum_{b=1}^{n} K_e^{ab} (\alpha + \beta \cdot x_b) = \sum_{b=1}^{n} \int_{\Omega_e} \nabla \phi_a \cdot \nabla (\phi_b (\alpha + \beta \cdot x_b)) \, dx
\end{equation}
\begin{align*}
&= \alpha \int_{\Omega_e} \nabla \phi_a \cdot \nabla \left( \sum_{b=1}^{n} \phi_b \right) + \int_{\Omega_e} \nabla \phi_a \cdot \nabla \left( \beta \cdot \sum_{b=1}^{n} \phi_b x_b \right) \, dx \\
&= \alpha \int_{\Omega_e} \nabla \phi_a \cdot \nabla (1) + \int_{\Omega_e} \nabla \phi_a \cdot \nabla (\beta \cdot x) \, dx \\
&= \alpha \cdot 0 + \beta \cdot \int_{\Omega_e} \nabla \phi_a \, dx = \alpha \cdot 0 + \beta \cdot \int_{\partial \Omega_e} \phi_a n \, dS \quad (a = 1, \ldots, n).
\end{align*}

Equating the coefficients of $\alpha$ and $\beta$ on the left-most and the right-most sides, we obtain
\begin{equation}
\sum_{b=1}^{n} K_e^{ab} = 0, \tag{3.23a}
\end{equation}
\begin{equation}
\sum_{b=1}^{n} K_e^{ab} x_b = \int_{\partial \Omega_e} \phi_a n \, dS \quad (a = 1, \ldots, n), \tag{3.23b}
\end{equation}
which must be satisfied to ensure that the polygonal or polyhedral finite element solution reproduces an affine function. The condition (3.23a) indicates that the constant vector must lie in the kernel of $K_e$. Furthermore, since the nodal coordinates $x_b$ on the left-hand side of (3.23b) form the columns of matrix $N$ and the right-hand side in (3.23b) is just $R$ in (3.9), we find that $K_e$ must satisfy

$$K_e N = R,$$

(3.24)

which is the linear consistency condition in mimetic finite difference schemes.

### 3.3. Combined finite element and virtual element approach

As in meshfree methods [131], since non-polynomial shape functions are used in polygonal and polyhedral finite element methods (PFEM), accurate and efficient numerical integration is a pertinent issue in these methods. In polygonal finite element computations, on partitioning each $n$-gon into $n$ triangles, and using standard polynomial-precise quadrature rules in each triangle, the patch test is only met to $10^{-4} - 10^{-8}$ accuracy [39, 132]. With an eye on satisfying the patch test and requiring fewer integration points to compute the stiffness matrix, nodally integrated meshfree methods that use a smoothed strain operator [133, 134] have been proposed. This modified integration rule is also used in the smoothed finite element method [135, 136], and a variant of the same is adopted by Bishop [49]. Herein, we appeal to the virtual element method [64] (see previous section) that has firm mathematical underpinnings, to ensure consistency (patch test) and stability in generalized barycentric coordinates-based polygonal and polyhedral finite element methods.

From (3.21), we can write the relation for the exact decomposition of the stiffness matrix as

$$K_e = \frac{RR^T}{A_e} + (I - \Pi)^T K_e (I - \Pi),$$

(3.25)

and now we replace $K_e$ on the right-hand side by $K_e^t$, which is an approximation for the element stiffness matrix that is evaluated using numerical quadrature. Such a choice retains consistency and stability of the method. To compute $K_e^t$, we use a $t$-th order polynomial-precise quadrature rule on each simplex-partition of the polygon or polyhedron. For ease of implementation, we use $\bar{\Pi}$ instead of $\Pi$ in (3.25), which is justified by virtue of the following proposition.

**Proposition 3.1.** If the constant vector lies in the kernel of matrix $K_e^t$ ($K_e^t \mathbf{1} = 0$), then the stabilization term satisfies the relation

$$(I - \Pi)^T K_e^t (I - \Pi) = (I - \bar{\Pi})^T K_e^t (I - \bar{\Pi}).$$

**Proof.** On using (3.17), we can write

$$(I - \Pi)^T K_e^t (I - \Pi) = (I - \bar{\Pi} - \Pi_0 (I - \bar{\Pi}))^T K_e^t (I - \bar{\Pi} - \Pi_0 (I - \bar{\Pi})),$$

and since $\Pi_0 = \mathbf{1}^T / n$ from (3.18), therefore $K_e^t \Pi_0 = \Pi_0 K_e^t = 0$, and the above relation simplifies to

$$(I - \Pi)^T K_e^t (I - \Pi) = (I - \bar{\Pi} - \Pi_0 (I - \bar{\Pi}))^T K_e^t (I - \bar{\Pi}) = (I - \bar{\Pi})^T K_e^t (I - \bar{\Pi}),$$

which is the desired result. \hfill $\Box$
Using Proposition 3.1, $K_e$ is computed via the following expression in the combined method (coined as PFEM-VEM):

$$K_e = \frac{RR^T}{A_e} + (I - \tilde{\Pi})^T K_e^l (I - \tilde{\Pi}),$$

(3.26)

where $\tilde{\Pi}$ is given in (3.20).

Finally, we have the following result on the exactness of $K_e$ for VEM, PFEM, and PFEM-VEM.

**Proposition 3.2.** Let $p$ and $q$ be two linear polynomials defined on $e$. We denote their degrees of freedom by $p_a = (p_a)$, $q_b = (q_b)$, so that $p(x) = \sum p_a \phi_a(x)$ holds, and a similar relation applies for $q$ with $q_b$ instead of $p_a$. Then, it follows that

$$p^T K_e q = \int_{\Omega_e} \nabla p \cdot \nabla q \, dx = |\Omega_e| \nabla p \cdot \nabla q,$$

(3.27)

where $K_e$ can be the PFEM stiffness matrix (3.3), the VEM stiffness matrix (3.22), or the PFEM-VEM stiffness matrix (3.26).

**Proof.** The local stiffness matrix of the VEM is given by (3.6), which can be rewritten as the sum of two integrals:

$$K_{e}^{ab} = \int_{\Omega_e} \nabla \Pi \phi_a \cdot \nabla \Pi \phi_b \, dx + \int_{\Omega_e} \nabla (I - \Pi) \phi_a \cdot \nabla (I - \Pi) \phi_b \, dx.$$

(3.28)

On noting that $(I - \Pi)p = (I - \Pi)q = 0$ since $p$ and $q$ are linear polynomials, we can write

$$p^T K_e q = \sum_{ab} p_a q_b \left( \int_{\Omega_e} \nabla \Pi \phi_a \cdot \nabla \Pi \phi_b \, dx + \int_{\Omega_e} \nabla (I - \Pi) \phi_a \cdot \nabla (I - \Pi) \phi_b \, dx \right)$$

$$= \int_{\Omega_e} \nabla \sum_a p_a \Pi \phi_a \cdot \nabla \sum_b q_b \Pi \phi_b \, dx + \int_{\Omega_e} \nabla \sum_a p_a (I - \Pi) \phi_a \cdot \nabla \sum_b q_b (I - \Pi) \phi_b \, dx$$

$$= \int_{\Omega_e} \nabla p \phi_a \cdot \nabla q \phi_b \, dx = |\Omega_e| \nabla p \cdot \nabla q.$$

The correction term in the calculation of $p^T K_e q$ does not play any role since $(I - \Pi)p = (I - \Pi)q = 0$ for any pair of linear polynomials $p$ and $q$. Thus, the same result holds for the alternative forms of the stiffness matrix given by (3.25) and (3.26).

Now, let us consider the PFEM formulation, which approximates the stiffness matrix as follows:

$$K_{e}^{ab} = \int_{\Omega_e} \nabla \phi_a \cdot \nabla \phi_b \, dx = \sum_i w_i \nabla \phi_a(x_i) \cdot \nabla \phi_b(x_i) + |\Omega_e| O(h^r),$$

(3.29)

where $r \geq 1$ is the accuracy order of the quadrature rule $\{(w_i, x_i)\}$ on $\Omega_e$ (we assume that
\[ \sum_t = w_t = |\Omega_e|. \] After rearranging the summations in (3.29), we have

\[ p^T K_e q = \sum_{ab} p_a q_b \int_{\Omega_e} \nabla \phi_a \cdot \nabla \phi_b dx \]

\[ = \sum_{ab} p_a q_b \left( \sum_t w_t \nabla \phi_a(x_t) \cdot \nabla \phi_b(x_t) + |\Omega_e| O(h^{-r}) \right) \]

\[ = \sum_t w_t \nabla \sum_a p_a \phi_a(x_t) \cdot \nabla \sum_b q_b \phi_b(x_b) + |\Omega_e| O(h^{-r}). \]

Since \( r \geq 1 \), the quadrature rule is exact for linear polynomials and the approximation error is zero; furthermore, the gradients are constant vectors and the summation of the weights \( w_t \) returns the measure of \( \Omega_e \). Therefore, we obtain the desired result:

\[ p^T K_e q = \sum_t w_t \nabla p(x_t) \cdot \nabla q(x_b) = \left( \sum_t w_t \right) \nabla p \cdot \nabla q = |\Omega_e| \nabla p \cdot \nabla q. \]

The condition (3.27) clarifies why the property \( N^T K_e N = N^T R \) is satisfied to machine precision by all the methods.

4. Numerical Examples

We present two- and three-dimensional finite element solutions of the Poisson equation on polygonal and polyhedral meshes, respectively. The numerical integration scheme described in Section 3.3 is used in the computations. First, the patch test is considered and then the rates of convergence in the \( L^2 \) norm and the \( H^1 \) seminorm are presented for a Poisson problem.

4.1. Two-dimensional Poisson problems

4.1.1. Patch test

For the patch test, we consider the Laplace equation in a unit square with linear Dirichlet boundary conditions. We choose \( f(x) = 0 \) and \( g(x) = 1 - 2x - 3y \) in (3.1). The exact solution is: \( u(x) = g(x) \).

We consider the VEM, the PFEM formulation using Wachspress shape functions, and the combined PFEM-VEM formulation of Section 3.3. Numerical integration in PFEM is directly performed by partitioning each physical polygon into \( n \) subtriangles, and using one-point integration rule in each triangle. For the PFEM-VEM computations, the PFEM stiffness matrix \( K^t_e \) (\( t = 1 \)) is used in (3.26). For each mesh, we measure the approximation errors using the \( L^2 \) norm and the \( H^1 \) seminorm, and the consistency errors \( ||E||_F \) and \( ||N^T E||_F \), where \( E = K_e N - R \). Consistency errors for matrices are defined through the matrix Frobenius norm \( || \cdot ||_F \).

First, we consider the coarsest mesh in a sequence of eight different types of meshes (Fig. 5). Numerical results for the relative error norms and the consistency errors are tabulated in Tables 1 and 2, respectively. Then, we consider the five meshes shown in Fig. 6, which are refinements of the mesh illustrated in Fig. 5d. The corresponding relative error norms and consistency errors on these meshes is listed in Tables 3 and 4. From the above tabulated results, we can infer the following:
(a) (b) (c) (d)

(e) (f) (g) (h)

Fig. 5. First mesh of the sequence used for the patch test; first row: (a) smoothly deformed quadrilaterals; (b) smoothly deformed hexagons; (c) tilted hexagons; (d) smoothly deformed Voronoi; second row: (e) regular octagons and quadrilaterals; (f) regular hexagons; (g) randomly deformed quadrilaterals; (h) highly skewed quadrilaterals.

(i) VEM and the PFEM-VEM always pass the patch test, with relative errors in the $L^2$ norm and the $H^1$ seminorm of the order of machine precision. In contrast, the PFEM does not pass the patch test.

(ii) VEM and the PFEM-VEM always satisfy the consistency condition $K_e N = R$ to machine-precision accuracy, whereas PFEM only does so approximately (see columns $||E||_F$ in Tables 2 and 4).

(iii) VEM, PFEM and PFEM-VEM satisfy the consistency condition $N^T K_e N = N^T R$ on all meshes. This result is in agreement with Proposition 3.2.

We interpret the behavior noted in (ii) and (iii) as follows. Proposition 3.2 implies that the projection of the condition $K_e N = R$ on the subspace of linear polynomials is always met. Indeed, if we take linear polynomials in the set $\{x, y\}$, their degrees of freedom are the columns of matrix $N$. Instead, the relation $K_e N = R$ is guaranteed to be satisfied to machine precision only by the VEM since the VEM is designed to satisfy this relation, whereas for the PFEM it depends on the accuracy of the quadrature rule that is used.

4.1.2. Convergence for Poisson problem

Referring to the Poisson boundary-value problem in (3.1), we choose $f(x)$ in accordance with the exact solution $u(x) = 16xy(1-x)(1-y)$. The Dirichlet boundary condition $u = 0$ is imposed on
Polyhedral FEM and VEM

<table>
<thead>
<tr>
<th>Mesh</th>
<th>VEM</th>
<th>PFEM</th>
<th>PFEM-VEML</th>
</tr>
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<tbody>
<tr>
<td></td>
<td>$|u-u^{h}|_{0,\Omega}$</td>
<td>$|u-u^{h}|_{1,\Omega}$</td>
<td>$|u-u^{h}|_{0,\Omega}$</td>
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<tr>
<td>5(a)</td>
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<tr>
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<tr>
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<td>5(g)</td>
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</table>

Table 1. Relative errors in the $L^2$ norm and the $H^1$ seminorm for the two-dimensional patch test. Both VEM and PFEM-VEML pass the patch test.

<table>
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<tr>
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<th>PFEM</th>
<th>PFEM-VEML</th>
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</thead>
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<td>$|N^T E|_F$</td>
<td>$|E|_F$</td>
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<tr>
<td>5(f)</td>
<td>$4.1 \times 10^{-17}$</td>
<td>$1.6 \times 10^{-17}$</td>
<td>$4.4 \times 10^{-4}$</td>
</tr>
<tr>
<td>5(g)</td>
<td>$5.2 \times 10^{-17}$</td>
<td>$1.9 \times 10^{-17}$</td>
<td>$2.6 \times 10^{-5}$</td>
</tr>
<tr>
<td>5(h)</td>
<td>$1.2 \times 10^{-14}$</td>
<td>$2.5 \times 10^{-15}$</td>
<td>$3.7 \times 10^{-4}$</td>
</tr>
</tbody>
</table>

Table 2. Approximation errors $\|E\|_F$ and $\|N^T E\|_F$ (Probenius norm) for the patch test, where $E = K \cdot N - R$. All the methods manifest linear consistency in agreement with Proposition 3.2.

Fig. 6. Sequence of meshes generated by refining the mesh in Fig. 5d.

For the VEM, the right-hand side of (3.2) is computed via the approximation

$$\ell(w) = \int_{\Omega_c} f w \, dx \approx \int_{\Omega_c} \Pi(f) \Pi(w) \, dx.$$
Table 3. Relative errors in the $L^2$ norm and the $H^1$ seminorm for the patch test on a sequence of meshes generated by refining the mesh in Fig. 5d. The first five meshes labeled from 6a to 6e are shown in Fig. 6. Both VEM and PFEM-VEC pass the patch test.

Table 4. Approximation errors $||E||_F$ and $||N^T E||_F$ (Frobenius norm) for the patch test, where $E = K_e N - R$. Results are computed on the meshes generated by refining the mesh in Fig. 5d. The first five meshes labeled from 6a to 6e are shown in Fig. 6. All the schemes manifest linear consistency in agreement with Proposition 3.2.

Using decomposition (3.16), and noting that the second term in this decomposition is orthogonal to constants, we obtain

$$
\int_{\Omega_e} (\Pi_0(f) + (I - \Pi_0)\tilde{\Pi}(f))(\Pi_0(w) + (I - \Pi_0)\tilde{\Pi}(w)) \, dx
$$

$$
= \int_{\Omega_e} \Pi_0(f)\Pi_0(w) \, dx + \int_{\Omega_e} (I - \Pi_0)\tilde{\Pi}(f)(I - \Pi_0)\tilde{\Pi}(w) \, dx.
$$
The argument of the first integral on the right-hand side is a constant function, and using the definition of $\Pi_0$ and $\tilde{\Pi}$ yields:

$$\int_{\Omega_e} (I - \Pi_0) \tilde{\Pi}(f)(I - \Pi_0)\tilde{\Pi}(w) \, dx = \nabla f^T \left( \int_{\Omega_e} (x - x_{\Omega_e})(x - x_{\Omega_e})^T \, dx \right) \nabla w = O(h^2),$$

where $\nabla f$ and $\nabla w$ are some suitable constant approximation of the gradients of $f$ and $w$, and this term is proportional to $h^2$. Thus, the VEM approximation for the right-hand side of (3.2) is:

$$\ell(w) = \Pi_0(f)\Pi_0(w)|\Omega_e| + O(h^2). \quad (4.1)$$

In (4.1), we evaluate $\Pi_0(w)$ by using (3.4c), and $\Pi_0(f)$ by the cell-average of $f$ on $\Omega_e$. For the PFEM-VEM, the right-hand side of (3.2) can be evaluated through (4.1) or by evaluating the integral in (3.2) by a quadrature rule as for the PFEM. The results shown in this section are obtained by adopting this latter approach. The computation of the element force vector in PFEM and PFEM-VEM is carried out using 1-point quadrature rule in each triangle-partition of a polygonal element. Convergence study using the VEM, PFEM and PFEM-VEM is conducted on the meshes shown in Fig. 6. The mesh parameters are presented in Table 5. The numerical results for the relative error norms are listed in Tables 6 and 7. Plots of the rate of convergence of the three methods are depicted in Fig. 7. We observe that all methods deliver the optimal rate of convergence in the $L^2$ norm and the $H^1$ seminorm.

### 4.2. Three-dimensional Poisson problems

#### 4.2.1. Patch test

We consider the Laplace equation in a unit cube with linear Dirichlet boundary conditions. We choose $f(x) = 0$ and $g(x) = 1 - 3x + 4y - 5z$ in (3.1). The exact solution is: $u(x) = g(x)$. We perform numerical computations with PFEM and the combined PFEM-VEM formulation of Section 3.3. Numerical integration in PFEM is directly performed by partitioning each physical polyhedron into subtetrahedra, and using one-point integration rule in each subtetrahedra. For the PFEM-VEM computations, the PFEM stiffness matrix $K_t^I$ ($t = 1$) is used in (3.26). For the patch test, the sequence of meshes shown in Fig. 8 are used. Numerical results for the relative error norms are

<table>
<thead>
<tr>
<th>Mesh</th>
<th>6(a)</th>
<th>6(b)</th>
<th>6(c)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nodes</td>
<td>74</td>
<td>244</td>
<td>884</td>
</tr>
<tr>
<td>$h$</td>
<td>$3.278 \times 10^{-1}$</td>
<td>$1.846 \times 10^{-1}$</td>
<td>$9.686 \times 10^{-2}$</td>
</tr>
<tr>
<td>Mesh</td>
<td>6(d)</td>
<td>6(e)</td>
<td>6(f)</td>
</tr>
<tr>
<td>Nodes</td>
<td>3364</td>
<td>13124</td>
<td>51844</td>
</tr>
<tr>
<td>$h$</td>
<td>$4.889 \times 10^{-2}$</td>
<td>$2.451 \times 10^{-2}$</td>
<td>$1.226 \times 10^{-2}$</td>
</tr>
<tr>
<td>Mesh</td>
<td>6(g)</td>
<td>6(h)</td>
<td>6(i)</td>
</tr>
<tr>
<td>Nodes</td>
<td>206084</td>
<td>821764</td>
<td>3281924</td>
</tr>
<tr>
<td>$h$</td>
<td>$6.131 \times 10^{-3}$</td>
<td>$3.066 \times 10^{-3}$</td>
<td>$1.533 \times 10^{-3}$</td>
</tr>
</tbody>
</table>

Table 5. Number of nodes and mesh size $h$ for the meshes generated by refining the mesh in Fig. 5d and used in the two-dimensional convergence study. The first five meshes labeled from 6a to 6e are shown in Fig. 6.
Table 6. Relative errors in the $L^2$ norm for the two-dimensional Poisson problem using VEM, PFEM and PFEM-VEM. The meshes are generated by refining the mesh in Fig. 5d and used in the two-dimensional convergence study. The first five meshes labeled from 6a to 6e are shown in Fig. 6.

| Mesh | VEM $||u - u_h||_{0,\Omega}$ Rate | PFEM $||u - u_h||_{0,\Omega}$ Rate | PFEM-VEM $||u - u_h||_{0,\Omega}$ Rate |
|------|----------------------------------|----------------------------------|----------------------------------|
| 6(a) | $8.71 \times 10^{-2}$ – 2.07 | $5.09 \times 10^{-2}$ – 2.05 | |
| 6(b) | $2.95 \times 10^{-2}$ 1.89 | $1.48 \times 10^{-2}$ 2.15 | |
| 6(c) | $8.52 \times 10^{-3}$ 1.92 | $3.96 \times 10^{-3}$ 2.05 | |
| 6(d) | $2.27 \times 10^{-3}$ 1.98 | $1.01 \times 10^{-3}$ 1.99 | |
| 6(e) | $5.82 \times 10^{-4}$ 1.99 | $2.55 \times 10^{-4}$ 1.99 | |
| 6(f) | $1.47 \times 10^{-4}$ 1.98 | $6.40 \times 10^{-5}$ 2.00 | |
| 6(g) | $3.70 \times 10^{-5}$ 2.00 | $1.60 \times 10^{-5}$ 2.00 | |
| 6(h) | $9.28 \times 10^{-6}$ 2.00 | $4.01 \times 10^{-6}$ 2.00 | |
| 6(i) | $2.32 \times 10^{-6}$ 2.00 | $1.00 \times 10^{-6}$ 2.00 | |

Table 7. Relative errors in the $H^1$ seminorm for the two-dimensional Poisson problem using VEM, PFEM and PFEM-VEM. The meshes are generated by refining the mesh in Fig. 5d and used in the two-dimensional convergence study. The first five meshes labeled from 6a to 6e are shown in Fig. 6.

| Mesh | VEM $|u - u_h|_{1,\Omega}$ Rate | PFEM $|u - u_h|_{1,\Omega}$ Rate | PFEM-VEM $|u - u_h|_{1,\Omega}$ Rate |
|------|--------------------------------|--------------------------------|--------------------------------|
| 6(a) | $2.44 \times 10^{-1}$ – 1.01 | $2.43 \times 10^{-1}$ – 1.02 | |
| 6(b) | $1.36 \times 10^{-1}$ 1.02 | $1.35 \times 10^{-1}$ 1.02 | |
| 6(c) | $7.02 \times 10^{-2}$ 1.03 | $7.01 \times 10^{-2}$ 1.02 | |
| 6(d) | $3.55 \times 10^{-2}$ 1.00 | $3.55 \times 10^{-2}$ 1.00 | |
| 6(e) | $1.78 \times 10^{-2}$ 1.00 | $1.78 \times 10^{-2}$ 0.99 | |
| 6(f) | $8.95 \times 10^{-3}$ 1.00 | $8.95 \times 10^{-3}$ 1.00 | |
| 6(g) | $4.48 \times 10^{-3}$ 1.00 | $4.48 \times 10^{-3}$ 1.00 | |
| 6(h) | $2.24 \times 10^{-3}$ 1.00 | $2.24 \times 10^{-3}$ 1.00 | |
| 6(i) | $1.12 \times 10^{-3}$ 1.00 | $1.12 \times 10^{-3}$ 1.00 | |

presented in Table 8. The PFEM does not pass the patch test, whereas the PFEM-VEM passes the patch test.

4.2.2. Convergence for Poisson problem

Referring to the Poisson boundary-value problem in (3.1), we choose $f(x)$ in accordance with the exact solution $u(x) = 64xyz(1-x)(1-y)(1-z)$, and the Dirichlet boundary condition $u = 0$ is imposed on $\partial \Omega$. The computation of the element force vector in PFEM and PFEM-VEM is carried out using 1-point quadrature rule in each subtetrahedra of a partitioned-polyhedron. Convergence study using PFEM and PFEM-VEM is conducted on the meshes shown in Fig. 8. The numerical
Table 8. Relative errors in the $L^2$ norm and the $H^1$ seminorm for the three-dimensional patch test. PFEM does not pass the patch test, whereas PFEM-VEM passes the patch test.

results for the relative error norms are listed in Table 9, and the plots of the rate of convergence of the methods are depicted in Fig. 9. On the meshes chosen, we observe that both methods deliver the optimal rate of convergence in the $L^2$ norm and the $H^1$ seminorm. However, unlike the two-dimensional case, note that the error in the $H^1$ seminorm for the patch test in three dimensions with PFEM stagnates to $O(10^{-3})$ (see Table 8), and therefore it is expected that the rate of convergence with the PFEM will deteriorate on more refined meshes. This inference is also consistent with the analysis for the patch test presented in Reference [67].
Fig. 8. Sequence of polyhedral meshes used for the patch test and for the convergence study on the Poisson problem. The cells are hexahedra obtained by partitioning tetrahedral cells into four subcells. A portion of the mesh around the vertex \((1, 1, 1)\) has been removed to show the internal structure.

<table>
<thead>
<tr>
<th>Mesh</th>
<th>(|u - u^h|_{0, \Omega})</th>
<th>Rate</th>
<th>(|u - u^h|_{1, \Omega})</th>
<th>Rate</th>
<th>(|u - u^h|_{0, \Omega})</th>
<th>Rate</th>
<th>(|u - u^h|_{1, \Omega})</th>
<th>Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>8(a)</td>
<td>(1.8 \times 10^{-1})</td>
<td>–</td>
<td>(3.6 \times 10^{-1})</td>
<td>–</td>
<td>(1.8 \times 10^{-1})</td>
<td>–</td>
<td>(3.6 \times 10^{-1})</td>
<td>–</td>
</tr>
<tr>
<td>8(b)</td>
<td>(5.1 \times 10^{-2})</td>
<td>(2.95)</td>
<td>(1.8 \times 10^{-1})</td>
<td>(1.69)</td>
<td>(5.0 \times 10^{-2})</td>
<td>(3.01)</td>
<td>(1.8 \times 10^{-1})</td>
<td>(1.68)</td>
</tr>
<tr>
<td>8(c)</td>
<td>(9.4 \times 10^{-3})</td>
<td>(2.49)</td>
<td>(7.5 \times 10^{-2})</td>
<td>(1.26)</td>
<td>(9.1 \times 10^{-3})</td>
<td>(2.49)</td>
<td>(7.6 \times 10^{-2})</td>
<td>(1.25)</td>
</tr>
<tr>
<td>8(d)</td>
<td>(2.1 \times 10^{-3})</td>
<td>(2.01)</td>
<td>(3.6 \times 10^{-2})</td>
<td>(0.98)</td>
<td>(2.1 \times 10^{-3})</td>
<td>(1.99)</td>
<td>(3.6 \times 10^{-2})</td>
<td>(1.01)</td>
</tr>
</tbody>
</table>

Table 9. Relative errors in the \(L^2\) norm and the \(H^1\) seminorm for the three-dimensional Poisson problem.

5. Concluding Remarks

In this paper, we first presented an overview of generalized barycentric coordinates, with emphasis on Wachspress and maximum-entropy coordinates. Recently, mimetic finite-difference schemes on
arbitrary polygonal meshes were cast in a variational framework—the method so devised was coined as the Virtual Element Method (VEM), since it did not require the explicit construction of basis functions. In the VEM, a projection operator is used to realize the decomposition of the stiffness matrix into two terms: a consistent matrix that is known, and a stability matrix that must be positive semi-definite and which is only required to scale like the consistent matrix. We appealed to the exact decomposition in the VEM to propose a robust and efficient Galerkin method using Wachspress coordinates on polygonal and polyhedral elements. To this end, we used numerical quadrature to compute the stability matrix.

As a model problem, we considered the Poisson equation with Dirichlet boundary conditions. In the numerical computations, we compared the accuracy of the VEM, polygonal/polyhedral finite element method (PFEM), and the combined PFEM-VEM approach. First, the patch test was assessed for the Laplace equation in $\mathbb{R}^2$ and $\mathbb{R}^3$, with linear Dirichlet boundary conditions. We showed that PFEM does not pass the patch test, whereas both VEM and PFEM-VEM pass the patch test. Then, we established that the PFEM-VEM approach delivered optimal rates of convergence in the $L^2$ norm and the $H^1$ seminorm for the two- and three-dimensional Poisson equation with homogeneous Dirichlet boundary conditions. On combining the virtual element formulation to barycentric finite elements, a robust and efficient approach has been realized for solving second-order elliptic problems on polygonal and polyhedral meshes.

Fig. 9. Rate of convergence for the three-dimensional Poisson problem using PFEM and PFEM-VEM. All methods deliver optimal convergence rates of 2 and 1 in the $L^2$ norm and the $H^1$ seminorm, respectively.
6. Acknowledgment

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References
70. M. S. Floater, A. Gillette, and N. Sukumar. Gradient bounds for Wachspress coordinates on poly-


**Appendix A. A second-order accurate quadrature rule for polyhedral faces**

Let $f$ be a face of the polyhedral cell $\Omega_e$, $x_f$ its barycenter, and $x_a$ for $a = 1, \ldots, n_f$ its vertices. We assume that these vertices are locally numbered by the sub-index $a$ so that their sequence forms the polygonal boundary of $f$. A second-order accurate quadrature rule using the vertices of $f$ as nodes requires a set of coefficients $\omega^f_a$ such that

$$x_f = \sum_{a=1}^{n_f} \omega^f_a x_a, \quad \sum_{a=1}^{n_f} \omega^f_a = 1, \quad \omega^f_a > 0.$$  \hspace{1cm} (A.1)
These weights are used to compute the entries of matrix $\mathbf{R}$ in (3.9b). We now proceed to show the existence of a set of weights that satisfies the properties in (A.1). The proof is constructive and leads to a formula for their calculation. At the end, we also discuss their non-uniqueness.

Let us first consider the case where $f$ is a convex polygon. If $f$ is a convex polygon, then the arithmetic average of the vertex positions

$$\bar{x}_f = \frac{1}{n_f} \sum_{a=1}^{n_f} x_a \tag{A.2}$$

is an internal point of $f$. We decompose face $f$ in $n_f$ triangles obtained by connecting $\bar{x}_f$ and the vertices of $f$. In particular, let $T_a$ denote the triangle with vertices $x_a$, $x_{a+1}$ and $x_f$, with the convention that $(\cdot)_{n_f+1} := (\cdot)_1$ and $(\cdot)_0 := (\cdot)_{n_f}$. The barycenter of $T_a$ is given by

$$x_{T_a} = \frac{1}{|T_a|} \int_{T_a} x \, dS = \frac{1}{3} \left( x_a + x_{a+1} + \bar{x}_f \right). \tag{A.3}$$

The barycenter of the polygonal face $f$ is the average of the barycenters of the triangles $T_a$ weighted by the area of the triangles. Indeed,

$$x_f = \frac{1}{|f|} \int_{f} x \, dS = \frac{1}{|f|} \sum_{a=1}^{n_f} |T_a| x_{T_a} = \frac{1}{|f|} \sum_{a=1}^{n_f} \frac{n_f}{|T_a|} x_{T_a}. \tag{A.4}$$

Substituting (A.3) in (A.4), rearranging the summation indices, and noting that $|f| = \sum_{a=1}^{n_f} |T_a|$ and using (A.2) yields:

$$x_f = \frac{1}{3|f|} \sum_{a=1}^{n_f} |T_a| (x_a + x_{a+1} + \bar{x}_f) = \frac{1}{3|f|} \sum_{a=1}^{n_f} \left( |T_a| + |T_{a-1}| \right) x_a + \frac{1}{3} \bar{x}_f \sum_{a=1}^{n_f} |T_a|

= \frac{1}{3|f|} \sum_{a=1}^{n_f} \left( |T_a| + |T_{a-1}| \right) x_a + \frac{1}{3} \bar{x}_f = \frac{1}{3|f|} \sum_{a=1}^{n_f} \left( |T_a| + |T_{a-1}| + \frac{|f|}{n_f} \right) x_a. \tag{A.5}$$

Comparing (A.5) with (A.1) gives the formula for the weights:

$$\omega^f_a = \frac{1}{3|f|} \left( |T_a| + |T_{a-1}| + \frac{|f|}{n_f} \right). \tag{A.6}$$

Clearly, it holds that $\omega^f_a > 0$ for all index $a$. The first property in (A.1) is a consequence of the definition of the weights and (A.5); the second property can be checked by a direct calculation.

If face $f$ is nonconvex but star-shaped with respect to an internal point, then

$$\bar{x}_f = \sum_{a=1}^{n_f} \beta^f_a x_a$$

for some choices of the coefficients $\beta^f_a \geq 0$ associated with the vertices $x_a$. We can then repeat the previous argument (using the new expression of $\bar{x}_f$) and obtain the formula:

$$\omega^f_a = \frac{1}{3|f|} \left( |T_a| + |T_{a-1}| + \beta^f_a |f| \right).$$

This formula can also be applied when face $f$ is convex. The simplest choice is $\beta^f_a = 1/n_f$, which returns (A.6). Alternative choices for $\beta^f_a$ give a family of second-order accurate quadrature rules.